

(505) 881-3338  
(505) 217-0466 Fax



**\*\*\*\*IMPORTANT\*\*\*\*PLEASE RETURN ALL 4 PAGES**

**CONFIRMATION OF DIRECTION**

**IF YOU ARE SENDING THIS DIRECTION BY FACSIMILE AND THE DIRECTION INVOLVES PUBLICLY TRADED STOCK,  
PLEASE CALL YOUR IRA OFFICER BEFORE SENDING THIS DIRECTION.**

<b>IRA Owner Name:</b>	<b>Date:</b>
<b>Account No.:</b>	<b>Administrator:</b>
<b>Daytime Phone No.:</b> (    )	<b>E-mail:</b>

The above named IRA Owner hereby authorizes Zia Trust, Inc. to execute the purchase/sale described below. This form must be completed by the Depositor when making purchases into or sales from Depositor's IRA. Failure to properly complete this form may delay or prevent Zia Trust, Inc. from completing this transaction. Zia Trust, Inc. reserves the right to refuse to execute any direction in the event of inadequate information, insufficient funds, or for other reasons that Zia Trust, Inc. may deem unacceptable.

**PART ONE**

**(Part One is to be used if the investment to be acquired or sold is publicly traded.)**

<input type="checkbox"/> For STOCK sales or purchases, please complete the following:	
<b>Stock Name:</b>	
<b>BUY</b> <input type="checkbox"/>	<b>SELL</b> <input type="checkbox"/>
<b>BUY</b> _____ (number) of shares	<b>SELL</b> _____ (number) of shares
<b>BUY</b> approximately \$ _____ worth of stock	<b>SELL</b> approximately \$ _____ worth of stock
<b>Specific Instructions (limit orders, etc.):</b>	
<input type="checkbox"/> For FIXED INCOME ASSET (bonds, treasuries, etc.) sales or purchases, please complete the following:	
<b>BUY</b> <input type="checkbox"/>	<b>SELL</b> <input type="checkbox"/>
<b>Bond name (i.e., US Treasury or corporation):</b>	
<b>Maturity range in years:</b>	<b>Target yield %:</b>
<b>Approximate total value: \$</b>	
<input type="checkbox"/> For MUTUAL FUND sales or purchases, please complete the following:	
<b>Sell</b> <input type="checkbox"/> <b>Purchase</b> <input type="checkbox"/>	<b>Name of Mutual Fund:</b>
<b>Approximate dollar value: \$</b>	
<b>Existing account number with mutual fund firm</b> (if no current account through Zia Trust, Inc., then contact Zia Trust, Inc. to determine if an account may be set up):	

**PART TWO  
PURCHASE AUTHORIZATION**

(Part Two is to be used if the investment to be acquired or sold is not publicly traded.)

<b>Name of the Seller:</b>	<b>Is the Seller related to the IRA Owner?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, what is the relation?</b>
<b>If the Seller is an entity, does the IRA Owner and/or family have an ownership interest in the entity?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, then how much of the entity does the IRA Owner own and how much of the entity does each member of the IRA owner's family own?</b>	
<b>Description of the asset being purchased:</b>	
<b>Purchase price:</b>	
\$	
<b>If asset is real estate, will the IRA Owner or any member of the IRA Owner's family be using the real estate?</b>	
<b>Is or will the IRA Owner also be investing non-IRA funds in the same asset?</b>	
<b>Will the property be subject to a mortgage?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	

<input type="checkbox"/> <b>For REAL ESTATE CONTRACTS, MORTGAGES, PROMISSORY NOTES and related purchases, please complete the following:</b>	
<b>Type of agreement:</b>	
<b>Firm handling purchase:</b>	<b>Contact person:</b>
<b>Phone no.:</b>	<b>Specifics:</b>
<b>Effective date of agreement:</b>	<b>Maturity date:</b>
<b>Interest rate:</b>	<b>Monthly payment: \$</b>
<b>Paid by:</b>	<b>Agreement to be escrowed with:</b>
<b>Escrow company phone no.:</b>	<b>Agreement secured by:</b>
<b>If purchase is discounted, indicate the face value of the agreement: \$</b>	
<input type="checkbox"/> <b>For REAL ESTATE purchases, please complete the following:</b>	
<b>Description:</b>	<b>Location:</b>
<b>Firm handled by:</b>	<b>Contact person/phone no.:</b>



its standard fee/commission.

The undersigned IRA owner, in authorizing the sale/purchase of the above described asset, has been told to obtain independent professional advice as to the risks, tax, and legal consequences of entering into the transaction. The undersigned IRA owner further acknowledges that, pursuant to the Traditional Individual Retirement Account Agreement that the undersigned IRA owner has entered into with Zia Trust, Inc., Zia Trust, Inc.'s sole responsibility is to act as custodian of the assets and that the undersigned IRA owner holds Zia Trust, Inc., its officers, directors, and employees harmless from any claims by or against the IRA owner as a result of the transaction and indemnifies Zia Trust, Inc., its officers, directors, and employees for any damages, including attorney's fees, that Zia Trust, Inc. may incur as a result of following the above instructions.

The undersigned IRA owner, in authorizing the sale/purchase of the above described asset, acknowledges and agrees that the IRA owner is solely responsible for the decision to sell/purchase the asset, that the IRA owner has not received any investment advice from Zia Trust, Inc. in regard to the sale/purchase of the asset, and that the IRA owner has been told to obtain independent, professional advice as to the risks, tax, and legal consequences of entering into this transaction.

Customer Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Received by: \_\_\_\_\_ Date: \_\_\_\_\_